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Practitioner's Docket No. 003252-52961 (formerly BRA01-NP002)

TECH CENTER 1680/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Brainard

Application No.: 09/853,428

Filed: May 10, 2001

Group No.: 1614

Examiner: Not yet assigned

For: PHOTORECEPTOR SYSTEM FOR MELATONIN REGULATION AND PHOTOTHERAPY



CERTIFICATE OF MAILING (37 C.F.R. SECTION 1.8(a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

9.27.02
DateLisa L. Feehey
(type or print name of person mailing)Lisa L. Feehey
(signature of person mailing paper)

Assistant Commissioner for Patents
Washington, D.C. 20231

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST
(REVOCATION OF PRIOR POWERS)

As assignee of record of the entire interest of the above identified

☒ application,
☐ patent,

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REVOCATION OF PRIOR POWERS OF ATTORNEY

all powers of attorney previously given are hereby revoked and

NEW POWER OF ATTORNEY

the following attorney(s) and/or agent(s) are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith.

David S. Resnick, Attorney and Patent Agent, Registration No. 34,235

Leena H. Karttunen, under 37 C.F.R. 10.9(b)

Ronald I. Eisenstein, Attorney and Patent Agent, Registration No. 30,628

Lana A. Shvartsman, Attorney and Patent Agent, Registration No. 48,502

Nicole L.M. Valtz, Patent Agent, Registration No. 47,150

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In re application of: Brainard

Application No.: 09/853,428

Filed: May 10, 2001

Group No.: 1614

Examiner: Not yet assigned

For: PHOTORECEPTOR SYSTEM FOR MELATONIN REGULATION AND PHOTOTHERAPY

(check the following item, if applicable)

- ☐ Attached, as part of this power of attorney, is the authorization of the above-named attorney(s) to accept and follow instructions from my representative(s).

SEND CORRESPONDENCE TO:

DIRECT TELEPHONE CALLS TO:

David S. Resnick
NIXON PEABODY LLP
101 Federal Street
Boston, MA 02110

David S. Resnick (617) 345-6057

Customer No.: 26248

ASSIGNEE

Thomas Jefferson University

(type or print identity of assignee of entire interest)

1020 Walnut Street, Philadelphia, Pennsylvania 19107

Address

☐ Recorded in PTO on 5/10/2001

Reel 011817

Frame 0191

ASSIGNEE STATEMENT

Attached to this power is a "STATEMENT UNDER 37 C.F.R. section 3.73(b)."

Signature

Date _____

Jussi Saukkonen, M.D.

(type or print name of person authorized to sign on behalf of assignee)

Dean, College of Graduate Studies and Vice President
For Science Policy, Technology Development and
Internal Affairs

Thomas Jefferson University

Title

(check the following item, if it forms a part of this power of attorney)

- ☐ Added page—Authorization of attorney(s) to accept and follow instructions from representative.



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PATENT
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In re application of: Brainard

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<u>9.27.02</u> Date	<u>Lisa L. Feecey</u> (type or print name of person mailing) <u>Lisa L. Feecey</u> (signature of person mailing paper)

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Assistant Commissioner for Patents
Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. SECTION 3.73(b)
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

IDENTIFICATION OF ASSIGNEE

2. Thomas Jefferson University
Name of assignee
Corporation – Non-profit organization
Type of assignee, e.g., corporation, partnership, university, government agency, etc.

PERSON AUTHORIZED TO SIGN

3. Jussi Saukkonen, M.D.
(type name of person authorized to sign on behalf of assignee)
Dean, College of Graduate Studies and Vice President for Science Policy, Technology Development and Internal Affairs, Thomas Jefferson University
Title of person authorized to sign

(complete the following, if applicable)

- ☒ I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

A.

1. ☒ An assignment from the inventor, George Brainard, of the matter identified above, which was recorded in the PTO on 05/10/2001 at Reel 011817, Frame 0191.
2. ☐ An assignment (document) separately being submitted for recordal herewith.

AND/OR

B. ☐ A chain of title from the inventor(s) to the current assignee as shown below:

1. From: _____
Name of inventor(s)
To: _____
Recorded in PTO: Reel _____, Frame _____
2. From: _____
Name of inventor(s) or assignee
To: _____
Recorded in PTO: Reel _____, Frame _____
3. From: _____
Name of inventor(s) or assignee
To: _____
Recorded in PTO: Reel _____, Frame _____

(check item below, and add details, if applicable)

☐ Additional documents in the chain of title are listed in the attached Supplemental Sheet.

COPIES OF DOCUMENTS IN CHAIN OF TITLE

(complete this item, if copies are being sent)

☐ Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

<input type="checkbox"/>	A	<input type="checkbox"/>	1	<input type="checkbox"/>	2
<input type="checkbox"/>	B	<input type="checkbox"/>	1	<input type="checkbox"/>	2

☐ 3



Signature of Person Authorized to Sign

Jussi Saukkonen, M.D.

(type or print name of authorized person)

Dean, College of Graduate Studies and Vice President for
Science Policy, Technology Development and Internal
Affairs

Thomas Jefferson University

Title of authorized person

Date: _____

Respectfully submitted,

Customer No.: 26248

SIGNATURE OF PRACTITIONER

David S. Resnick (Reg. No. 34,235)

NIXON PEABODY LLP

101 Federal Street

Boston, MA 02110

(617) 345-6057



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PTO/SB/21 (08-00)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/853,428
	Filing Date	05/10/01
	First Named Inventor	Brainard, George
	Group Art Unit	1614
	Examiner Name	To be assigned
Total Number of Pages in This Submission	Attorney Docket Number	003252-52961

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ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement Under 37 C.F.R. Section 3.73(b) Establishing Right of Assignee to Take Action; Certificate of Mailing; Return Receipt Postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	The Commissioner is authorized to charge fee deficiencies to the NIXON PEABODY LLP deposit account 50-0850.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	David S. Resnick (Reg.No. 34,235) NIXON PEABODY LLP, 101 Federal Street, Boston, MA 02110
Signature	
Date	9/27/02

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 9-27-02			
Typed or printed name	Lisa L. Feeney		
Signature		Date	9-27-02

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on 9.27.02
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Lisa L. Feeney
Signature

Lisa L. Feeney
Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Form (PTO/SB/21)(1 pg)
executed Power of Attorney by Assignee of Entire Interest (Revocation of Prior Powers) (2 pp)
Statement Under 37 C.F.R. Section 3.73(b) Establishing Right of Assignee to Take Action (3 pp)
Return Receipt Postcard

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